SEC Form 3

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| **FORM 3** | **UNITED STATES SECURITIES AND EXCHANGE COMMISSION** Washington, D.C. 20549  **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | | OMB APPROVAL   |  |  | | --- | --- | | OMB Number: | 3235-0104 | | Estimated average burden | | | hours per response: | 0.5 | | |  | |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. Name and Address of Reporting Person\*   |  | | --- | | [Chuang Shun-Chih](http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0002008935) |  |  |  |  | | --- | --- | --- | | (Last) | (First) | (Middle) |  |  | | --- | | C/O NOCERA, INC. 3F (BUILDING B), | | NO. 185, SEC. 1, DATONG RD., XIZHI DIST. |   (Street)   |  |  |  | | --- | --- | --- | | NEW TAIPEI CITY | F5 | 221 |  |  |  |  | | --- | --- | --- | | (City) | (State) | (Zip) | | 2. Date of Event Requiring Statement (Month/Day/Year) 06/01/2020 | 3. Issuer Name **and** Ticker or Trading Symbol  [NOCERA, INC.](http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0001756180) [ NCRA ] | |
| 4. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |  |  |  | | --- | --- | --- | --- | |  | Director |  | 10% Owner | | X | Officer (give title below) |  | Other (specify below) | | Chief Financial Officer | | | | | 5. If Amendment, Date of Original Filed (Month/Day/Year) |
| 6. Individual or Joint/Group Filing (Check Applicable Line)   |  |  | | --- | --- | | X | Form filed by One Reporting Person | |  | Form filed by More than One Reporting Person | |

| **Table I - Non-Derivative Securities Beneficially Owned** | | | |
| --- | --- | --- | --- |
| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
| Common Stock | 500,001 | D |  |

| **Table II - Derivative Securities Beneficially Owned** **(e.g., puts, calls, warrants, options, convertible securities)** | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class A Warrants | 06/01/2020 | 04/23/2026 | Common Stock | 50,000 | 0.5 | D |  |
| Class A Warrants | 12/01/2021 | 04/23/2026 | Common Stock | 75,000 | 0.5 | D |  |

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| **Explanation of Responses:** |

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| --- | --- | --- |
|  | /s/ Shun-Chih Chuang | 01/26/2024 |
|  | \*\* Signature of Reporting Person | Date |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. | | |
| \* If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v). | | |
| \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). | | |
| Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. | | |
| **Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.** | | |