SEC Form 3

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| **FORM 3** | **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**Washington, D.C. 20549**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934or Section 30(h) of the Investment Company Act of 1940  |

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| OMB APPROVAL

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| 1. Name and Address of Reporting Person\*

|  |
| --- |
| [Chuang Shun-Chih](http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0002008935) |

|  |  |  |
| --- | --- | --- |
| (Last) | (First) | (Middle) |

|  |
| --- |
| C/O NOCERA, INC. 3F (BUILDING B), |
| NO. 185, SEC. 1, DATONG RD., XIZHI DIST. |

(Street)

|  |  |  |
| --- | --- | --- |
| NEW TAIPEI CITY | F5 | 221 |

|  |  |  |
| --- | --- | --- |
| (City) | (State) | (Zip) |

 | 2. Date of Event Requiring Statement (Month/Day/Year)06/01/2020  | 3. Issuer Name **and** Ticker or Trading Symbol [NOCERA, INC.](http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0001756180) [ NCRA ]  |
| 4. Relationship of Reporting Person(s) to Issuer (Check all applicable)

|  |  |  |  |
| --- | --- | --- | --- |
|  | Director |  | 10% Owner |
| X | Officer (give title below) |  | Other (specify below) |
| Chief Financial Officer  |

 | 5. If Amendment, Date of Original Filed (Month/Day/Year) |
| 6. Individual or Joint/Group Filing (Check Applicable Line)

|  |  |
| --- | --- |
| X | Form filed by One Reporting Person |
|  | Form filed by More than One Reporting Person |

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| **Table I - Non-Derivative Securities Beneficially Owned** |
| --- |
| 1. Title of Security (Instr. 4)  | 2. Amount of Securities Beneficially Owned (Instr. 4)  | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)  | 4. Nature of Indirect Beneficial Ownership (Instr. 5)  |
| Common Stock | 500,001 | D |  |

| **Table II - Derivative Securities Beneficially Owned****(e.g., puts, calls, warrants, options, convertible securities)**  |
| --- |
| 1. Title of Derivative Security (Instr. 4)  | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)  | 4. Conversion or Exercise Price of Derivative Security  | 5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)  | 6. Nature of Indirect Beneficial Ownership (Instr. 5)  |
| Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class A Warrants | 06/01/2020 | 04/23/2026 | Common Stock | 50,000 | 0.5 | D |  |
| Class A Warrants | 12/01/2021 | 04/23/2026 | Common Stock | 75,000 | 0.5 | D |  |

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| --- |
| **Explanation of Responses:** |

|  |  |  |
| --- | --- | --- |
|  | /s/ Shun-Chih Chuang | 01/26/2024 |
|  | \*\* Signature of Reporting Person | Date |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |
| \* If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v). |
| \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). |
| Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. |
| **Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.** |